

# Sparse Representation Classification-Based Automatic Chord Recognition For Noisy Music

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Received October, 2015; revised May, 2017

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**ABSTRACT.** *In this paper, Sparse Representation-based Classification (SRC) is used for automatic chord recognition in music signals. It extracts Pitch Class Profile (PCP) features from raw audio and achieve sparse representation of classes via  $\ell_1$ -norm minimization on feature space and uses Viterbi algorithm to recognize 24 major and minor triads. But in the real world, the music usually is corrupted by noise. This recognition model is evaluated on MIREX09 dataset. And it compares the recognition rates when the music contains Gaussian white noise or not. Experimental results demonstrate that the method is robust to the Gaussian white noise.*

**Keywords:** Chord recognition, Noisy Music, PCP, Sparse Representation-based Classification, Viterbi algorithm

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**1. Introduction.** In music, a chord is a set of three or more notes that is played simultaneously. Chords are mid-level musical features which concisely describe the harmonic content of a piece. Automation labeling of chord is called chord recognition, which finds many applications such as music segmentation, cover song identification, audio matching, music similarity identification, and audio thumb nailing[1]. So automatic chord recognition is very important in musical information retrieval (MIR) in recent years.

In chord recognition, the features used may may not be identical. But in most cases, one of the most commonly used features is variants of the Pitch Class Profile (PCP) introduced by Fujishima (1999)[2]. PCP is also called chroma vector, which is often a 12-dimensional vector. It can convert pitch features into chroma features by adding up all values that belong to the same pitch class. The calculation of an audio file into a chroma representation is based either on the short-time Fourier transform (STFT) in combination with binning strategies [3-6] or on the constant Q transform (CQT) [7-11]. The musical content of audio musical signals can be well described with the chromagram.

The chord recognition is the chord labeling of each chord. Our chord recognition system is based on the sparse representation-based classification (SRC) [12] which has been proposed with amazing identification capability in recent years. Based on 12-dimensional PCP features, SRC discriminately selects the subset that most compactly expresses the input signal and rejects all other possible but less compact representations. Besides of

these, we use the method to recognize the chords of noisy music, and compare the recognition rates of ideal music and noisy music.

The rest of this paper is organized as follows: Section 2 reviews previous the related work of this area; Section 3 gives a description of our construction of the feature vector; Section 4 describes the recognition method; Section 5 gives the results on MIREX09 datasets and a comparison between the recognition rates of ideal music and noisy music; Finally we will draw some conclusion and give possible developments for further work.

**2. Related Work.** In audio chord estimation, it mainly includes the feature extraction, modelling techniques, evaluation strategies and so on. Some features are used, such as non-negative least squares (NNLS)[13], chroma DCT-reduced log pitch(CRP)[14], loudness based chromagram (LBC)[15], Mel PCP (MPCP)[16]. But the most popular feature is a chromagram, also known as chroma vectors or Pitch Class Profile (PCP). Fujishima developed a real-time chord recognition system, where he derived a 12-dimensional pitch class profile from the DFT of the audio signal, and performed pattern matching using the binary chord type templates[2]. Lee also used binary chord templates[17]. He introduced a new feature called Enhanced Pitch Class Profile (EPCP) using the harmonic product spectrum. Gómez and Herrera [18] used Harmonic Pitch Class Profile (HPCP) as the feature vector.

In modelling techniques, it usually uses the templates-fitting methods [9, 19-23]. Besides templates-fitting methods, it is widely used machine-learning methods such as hidden Markov Model (HMM) [4, 24-30] and DBNs(Dynamic Bayesian Networks)[15, 31] for this recognition process. Sheh and Ellis proposed a statistical learning method for chord segmentation and recognition[24]. Bello and Pickens also used the HMMs with the EM algorithm, but they considered the inherent musicality of audio into the models for model initialization[26].

PCP feature vectors are very important in our recognition system. In the next section, we will describe the main steps for the calculation of log PCP.

**3. Feature Vectors.** First of all, the recognition system extracts a sequence of suitable feature vectors from the audio signal. In our system, the features are log PCP vectors. Mller and Ewert propose feature vectors 12-dimensional Quantized PCP[32, 33] which avoids a possible frequency resolution and is sufficient to separate musical notes of low frequency comparing with others. The calculation of feature vectors PCP can be divided into the following steps: (1) Calculating the 36-bin chromagram with the constant Q transform; (2) Mapping spectral chromagram to a particular semitone; (3) Segmenting the audio signal with beat tracking algorithm; (4) Reducing the 36-bin chromagram to 12-bin chromagram based on beat-synchronous segmentation; (5) Chromagram normalization. Refer to [26] for more detailed steps on how to calculate chromagram.

(1)36-bin chromagram calculation. Using the constant Q transform, it can get  $X_{cqt}(k)$  of a audio signal  $x(m)$ :

$$X_{cqt}(k) = \frac{1}{N_k} \sum_{m=0}^{N_k-1} x(m) \cdot w_{N_k}(m) e^{-j2\pi mQ/N_k} \quad (1)$$

Where  $k$  is the bin position,  $w_{(N_k)}(m)$  is the hamming window and its length  $N_k = Q \cdot f_k/f_s$ . And  $f_k$  is the center frequency of the  $k$  bin and  $f_s$  is the sample frequency. In this paper, the music signal is down-sampled to 11025Hz.

By adding all  $X_{cqt}(k)$  that correspond to a particular frequency then it get 36-bin chromagram of each frames. The specific formula is as follows:

$$QPCP(p) = \sum_{m=0}^{M-1} |X_{cqt}(p + mb)|, p = 1, 2, \dots, 36 \quad (2)$$

Where  $M$  is the total number of octaves and  $b$  is the number of bins per octave.

(2) Chromagram tuning. In the 36-bin chromagram, 3 bins represent one note in the octave. Each spectral components of 36-bin is mapped to a particular semitone. The mapping formula is as follows:

$$P(k) = 36 * [\log_2(f_s/N_k * k/f_0)] \text{mod} 36 \quad (3)$$

(3) Beat-synchronous segmentation. In our system, it use the beat tracking with dynamic programming method proposed by Daniel P.W. Ellis [34]. This approach has been found to work very well in in many types of music. Segmenting the audio signal with beat tracking algorithm has additional advantage that the chroma feature is a function of beat segments, rather than time.

(4) 12-bin chromagram reduction. Finally, averaging the each spectral components of 36-bin in beat segments and summing them in semitones, thus the dimension of chromagram is reduced to 12 from 36. Then the chromagram of audio music can represented with these 12 dimensional vectors.

(5) Chromagram normalization.  $QPCP_{12}(p)$  is the 12-bin chromagram. It can get the normalized value with  $p$ -norm. The formula is as follows:

$$QPCP_{log}(p) = \log_{10}[C * QPCP_{12}(p) + 1] \quad (4)$$

$$QPCP_{norm}(p) = QPCP_{log}(p) / \|QPCP_{log}(p)\| \quad (5)$$

If it performs the logarithm and normalization, the chromagram is called Log PCP. In step (5) it has only normalization, it is called PCP.

As can be seen in Figure 1, the left picture shows a PCP of C major triad. The right one shows its Log PCP, as we can see, the strongest peaks are found at C, E, and G, since C major triad comprises three notes at C (root), E (third), and G (fifth). From the Figure 1, it can see that Log PCP is clear than PCP.

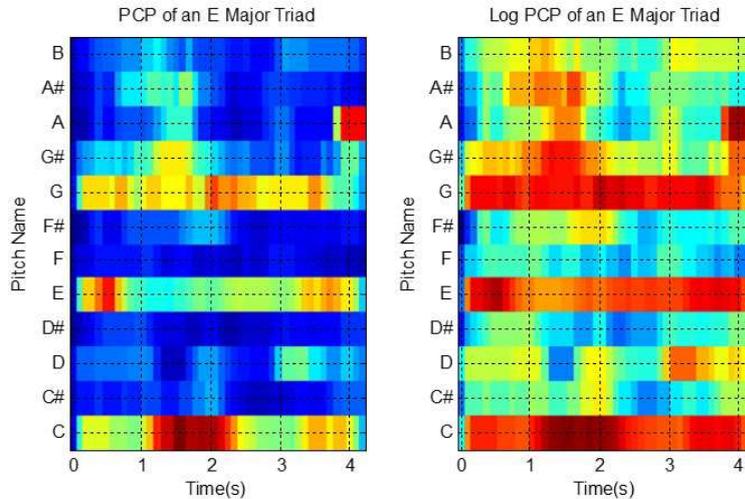


FIGURE 1. PCP and Log PCP of an E major triad

**4. Auto Chord Recognition.** In our chord recognition method, the system includes two sections: (1) Sparse representation-based classification (SRC); (2) Viterbi algorithm. Based on labeled musical fragments, the system uses SRC method and only relies on frame-wise classification. The method doesn't need amount of training data. If it has amount of training data, the system can add Viterbi algorithm by using transitions between chords to recognize chords.

**4.1. Sparse Representation-based Classification.** Template-based chord recognition methods used the chord definition to extract chord labels from a music piece. In fact, neither training data or extensive music theory knowledge is used[35]. The most HMM methods need amount of training data, parameters are learned from data. If labeled musical fragments are selected in template-based chord recognition, then the template is the PCP matrix of chords. So the basic problem in chord recognition is to use labeled training musical fragments from  $k$  distinct object chords to correctly determine the chord to which a new test musical fragments belongs. This problem can be solved by sparse representation-based classification (SRC) [12, 36].

In recent years, the sparse representation has become an important research focus in the field of pattern recognition, and has attracted wide attention in areas such as machine vision, machine learning, pattern recognition. The earliest in the field of sparse representation have been proposed[37, 38]. Its core idea is that the test sample is the linear representation of labeled training samples which the test sample belongs to. Obviously, only a few of the linear coefficients are zero, that is to say the coefficient vector is sparse.

Our chord recognition system is based on the sparse representation-based classification (SRC) [12]. Labeled samples by this algorithm can directly be used as the classifier training samples, saving lots of time and system resources. The following sections outline the method.

At first, we define a matrix  $D = [D_1, D_2, \dots, D_k] = [u_{1,1}, u_{1,2}, \dots, u_{k,n_k}] \in \mathbb{R}^{m \times n}$  by collecting  $n$  classifier training samples of all  $k$  classes, where  $m$  is the dimension of the feature set. For a given test sample  $y \in \mathbb{R}^m$  from subject  $i$ , it can be rewritten in terms of all training samples as:

$$y = Dx_0 \in \mathbb{R}^m \quad (6)$$

Where  $x_0$  is a coefficient vector, whose entries ideally the coefficient vector  $x_0 = [0, \dots, 0, a_{i,1}, a_{i,2}, \dots, a_{i,n_i}, 0, \dots, 0]$  are mostly zero except the values corresponding to the  $i$ -th class are non-zero and other coefficient values should be 0.

As coefficient vector  $x_0$  can identify the test sample  $y$ , it can be obtained by solving the linear equation (6). Recent development in the emerging compressed sensing theory and sparse representation reveals that if the solution  $x_0$  sought is sparse enough, the solution to the system of equation (6) is equivalent to the following  $\ell_1$ -minimization problem:

$$\hat{x}_1 = \operatorname{argmin} \|x\|_1 \text{ subject to } y = Dx \quad (7)$$

Since real music are noisy, it may not be possible to express the test sample exactly as a sparse representation of the training samples. Account for small noise, the model(6) can be modified to explicitly, as following

$$y = Dx_0 + E \in \mathbb{R}^m \quad (8)$$

Where  $E$  is a noise term with bounded energy  $\|E\|_2 < \varepsilon$ . The sparse solution  $x_0$  can still be obtained by solving the following  $\ell_1$ -minimization problem:

$$\hat{x}_1 = \operatorname{argmin} \|x\|_1 \text{ subject to } \|y - Dx\|_2 \leq \varepsilon \quad (9)$$

According to these non-zero coefficient  $x_1$ , it can quickly know the test sample belongs to the class. Actually, because of noise and model errors, some of entries with multiple object classes is small nonzero values. For each class  $i$ , the given test sample  $y$  can be approximated as  $\hat{y}_i = D\delta_i(\hat{x}_1)$ , where  $\delta_i : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is the characteristic function which selects the coefficients associated with the  $i$ th class. We then calculate the residual between  $y$  and  $\hat{y}_i$ :

$$r_i(y) = \|y - Dx\|_2 \quad (10)$$

At last, we classify  $y$  based on these approximations by assigning it to the object class that minimizes the residual, as follow:

$$identity(y) = \underset{i}{argmin} r_i(y) \quad (11)$$

The resulting SRC algorithm is summarized below.

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**Algorithm 1** Recognition via Sparse Representation Classification (SRC)

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- 1: **Input:**  $D$  is a matrix of classifier training samples,  $D = [D_1, D_2, \dots, D_k] \in \mathbb{R}^{m \times n}$  for  $k$  classes, a test sample  $y \in \mathbb{R}^m$ .
  - 2: **Output:**  $identity(y) = \underset{i}{argmin} r_i(y)$
  - 3: Solve the  $\ell_1$ -minimization problem:  $\hat{x}_1 = \underset{x}{argmin} \|x\|_1$  subject to  $\|y - Dx\|_2 \leq \varepsilon$
  - 4: Compute the residuals  $r_i(y) = \|y - Dx\|_2$ , for  $i = 1, \dots, k$
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If it selects a sample of **D** chord, using SRC solves its coefficients. Its residual of subset chord and coefficients of sparse linear combination are shown in figure 2. Many of these coefficients are zero. And the minimum residual of subset chord is the correct chord. When the sample contains Gaussian white noise and SNR is 10dB, its residual and coefficients are shown in figure 3. Through the sample contains noise, the SRC can recognize the correct chord. But the coefficient has many nonzero values. The proportion of the maximum residual and minimum value is reduced and the minimum increased.

**4.2. Viterbi Algorithm.** In SRC method, it uses the residuals  $r_i(y)$  to recognize the chord. The method recognizes the chord on frame-wise classification. If it uses transitions between chords, it can improve the recognition rates of chord. Our system uses the Viterbi algorithm. Suppose the system has hidden  $N$  states, and we denote each state as  $S_i, i \in [1 : N]$ . The observed events are  $Q_t, t \in [1 : T]$ . The current observed events  $Q = Q_1, Q_2, \dots, Q_T, t \in [1 : T]$ .  $A_{ij}$  represents the probability chord  $S_i$  jump to chord  $S_j$ . At an arbitrary time point  $t$ , for each of the states  $S_i$ , a partial probability  $\delta_t(S_i)$  is defined to indicate the probability of the most probable path ending at the state  $S_i$ , given the current observed events  $Q_1, Q_2, \dots, Q_t$ :  $\delta_t(S_i) = \max_j (\delta_{t-1}(S_j)A(S_j, S_i)P(Q_t|S_i))$ . Here, we assume that we already know the probability  $\delta_{t-1}(S_j)$  for any of the previous states  $S_j$  at time  $t - 1$ .  $P(Q_t|S_i)$  is the current observation probability. After having all the objective probabilities for each state at each time point, the algorithm seeks from the very end backwards to the beginning to find the most probable path of states for the given sequence of observation events  $\Psi_t(i) = \underset{1 \leq j \leq N}{argmax} [(\delta_{t-1}(S_j)A(S_j, S_i))]$ . Where  $\Psi_t(i)$  indicates which state is the most optimal state at time  $t$  based on the probability computed in the first stage.

The Viterbi algorithm is as follows:

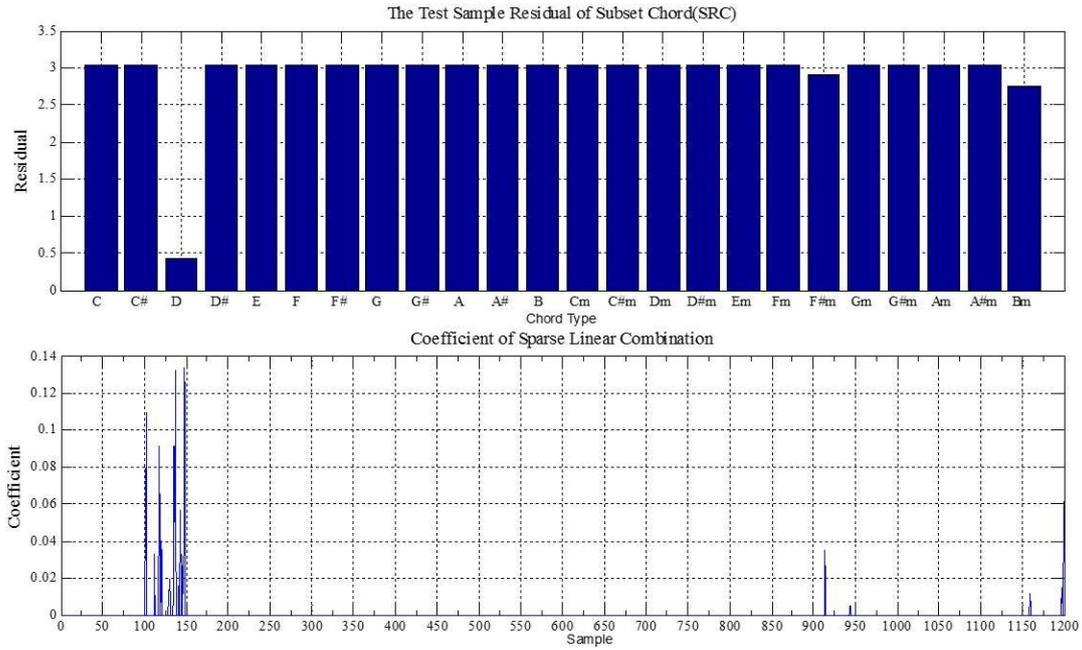


FIGURE 2. The residual and sparse linear coefficient of D chord sample

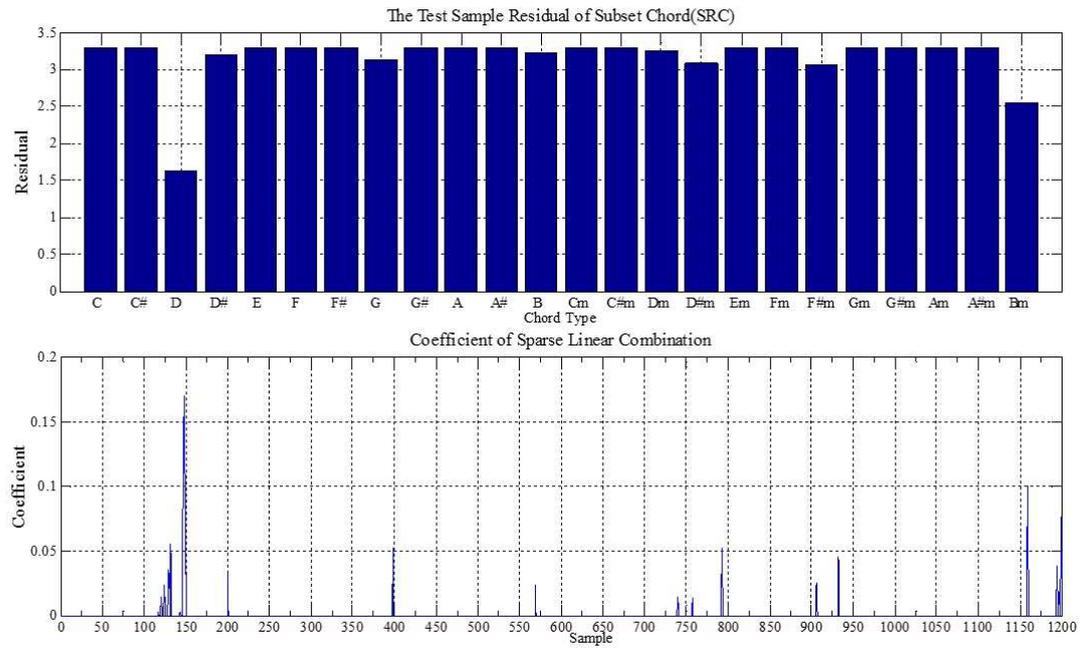


FIGURE 3. The residual and sparse linear coefficient of D chord sample when it contains noise

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**Algorithm 2** Recognition via Sparse Representation Classification (SRC)

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- 1: **Initialization:**  $\delta_t(S_i) = \prod_i P(Q_1|S_i), \Psi_t(i) = 0, 1 \leq i \leq N.$
  - 2: **Recursion:**  $\delta_t(S_i) = \max_j [\delta_{t-1}(S_j) \cdot A(S_j, S_i) \cdot P(Q_t|S_i)], \Psi_t(i) = \underset{1 \leq j \leq N}{\operatorname{argmax}} [(\delta_{t-1}(S_j) \cdot A(S_j, S_i))].$
  - 3: **Termination:**  $q_T^* = \underset{1 \leq i \leq N}{\operatorname{max}} [\delta_t(S_i)], P^* = \underset{i}{\operatorname{max}} [\delta_t(S_i)].$
  - 4: **Path Backtracking:**  $q_t^* = \Psi_{t+1} q_{t+1}^*.$
-



uses chord symbol recall (CSR) to estimate how well the predicted chords match the ground truth:

$$CSR = \frac{\text{total duration of segments where annotation equals estimation}}{\text{total duration of annotated segments}} \quad (12)$$

Because pieces of music come in a wide variety of lengths, we will weight the CSR by the length of the song when computing an average for a given corpus. This final number is referred to as the weighted chord symbol recall (WCSR).

In order to verify the robustness of SRC, it first tests the algorithm of SRC adding different signal to noise ratio (SNR) noises. For the convenience of testing, the adding noise is white noise.

From the figure 5 and figure 6, it can see that the recognition rate of SRC with viterbi is higher than without, and SRC with LPCP higher than with PCP. When the noises add to the music, the recognition rates decrease hardly. When the noise is very large, for example SNR is 10dB, the rate decrease 8 percent.

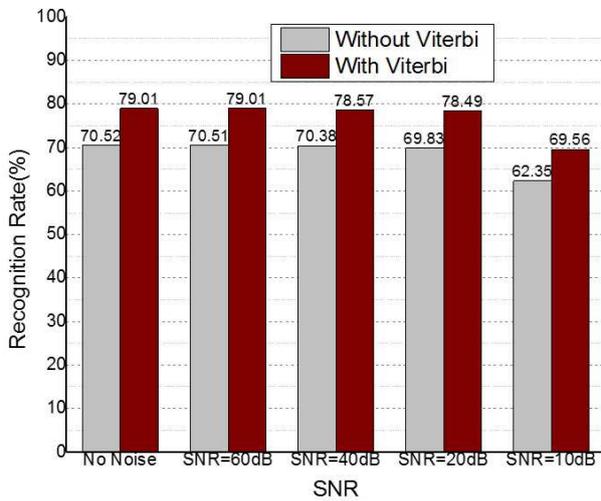


FIGURE 5. Recognition Rate with PCP

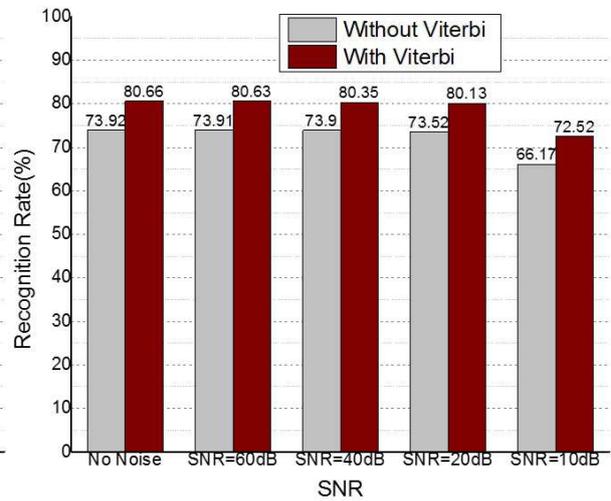


FIGURE 6. Recognition Rate with LPCP

**6. Conclusion.** In this paper, we have presented a new machine learning model-SRC for chord recognition. In comparison with different SNR, the method is robust to Gaussian white noise. When it uses the viterbi algorithm, the recognition rate can increase 9 percent with PCP feature, 6 percent with LPCP feature. The key part of our new method is the training chord samples, which are randomly cut down from the songs of Beatles.

Based on MIR development and combined our research, the following work is proposed. First, this paper only involved chord recognition which is a part of chord transcription task. Future work will consider adding recognition of more complex chords to our work. Chord recognition will find many applications in the field of MIR such as song identification, query by similarity or structure analysis. Second, in this work we take the effect of different features into account in SRC. We could add appropriate other features in the feature.

**Acknowledgment.** This work was supported by the national Natural Science Foundation of China (Grant no. 61101225 and 61601264) and PhD research startup foundation of Shandong Jiaotong University. The authors also gratefully acknowledge the helpful comments and suggestions of the reviewers, which have improved the presentation.

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